

FORM PTO-1390  
REV. 5-93US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEYS DOCKET NUMBER

P05,0104

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

10/529,985 ✓

INTERNATIONAL APPLICATION NO.  
PCT/EP2003/011263INTERNATIONAL FILING DATE  
10 October 2003PRIORITY DATE CLAIMED  
10 October 2002

TITLE OF INVENTION:

**"CLEANING UNIT FOR CLEANING A CONVEYOR BELT"**

APPLICANT(S) FOR DO/EO/US

**Karl-Heinz JENAK, Stefan KREPPOLD and Albert EBEN**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

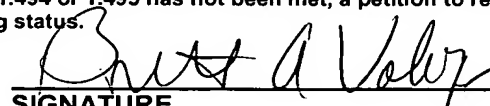
1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). **EXECUTED**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report).
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A **SECOND** preliminary amendment.  
A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - a. ☒ **EXPRESS MAIL #EV 362545933 US Mailed October 3, 2005.**
  - b. ☐
  - c. ☐

The PTO did not receive the following  
listed item(s) A second preliminary  
amendment

Rec'd F/PTO 03 OCT 2005

U. S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)		INTERNATIONAL APPLICATION NO. PCT/EP2003/011263		ATTORNEY'S DOCKET NUMBER P05,0104	
17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b> (Search Report has been prepared by the EPO or JPO) ..... \$900.00 (Includes National Stage, Search, and Examination Fees)  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				CALCULATIONS	PTO USE ONLY
				\$130.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).					
Claims	Number Filed	Number Extra	Rate		
Total Claims	-20		X \$50.00	\$00	
Independent Claims	-3 =		X \$200.00	\$00	
Multiple Dependent Claims			\$300.00 +		
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$130.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)					
<b>SUBTOTAL =</b>				\$130.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$00	
<b>TOTAL NATIONAL FEE =</b>				\$130.00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property				+	
<b>TOTAL FEES ENCLOSED =</b>				\$130.00	
				Amount to be refunded	
				charged	
a. <input checked="" type="checkbox"/> A check in the amount of <b>\$130.00</b> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>501519</b> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:			 SIGNATURE		
Schiff Hardin LLP Patent Department 6600 Sears Tower Chicago, Illinois 60606-6473 Customer Number 26574			Brett A. Valiquet NAME 27,841 Registration Number		

10/07/2005 11:00:00 AM LANDGRA 00000059 10529985

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130.00 0P

**CERTIFICATION OF MAILING**

"Express Mail" Mailing Label Number **EV 362545933 US**

Date of Deposit: October 3, 2005

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Commissioner for Patents, **MAIL STOP PCT**, P.O. Box 1450, Alexandria VA 22313-1450.

**Karl-Heinz JENAK, Stefan KREPPOLD and Albert EBEN**

PCT Application entitled: "CLEANING UNIT FOR CLEANING A CONVEYOR BELT"

Our Case No. P05,0104 (26970-0368)

Form 1390 in Duplicate

Executed Declaration

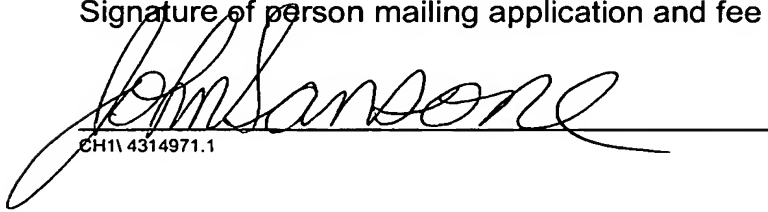
Executed Assignment (Separate Envelope) - \$40.00 - Postcard

\$130.00 Fee

Copy of Notice

Postcard

Signature of person mailing application and fee

  
CH1\ 4314971.1



## UNITED STATES PATENT AND TRADEMARK OFFICE

Rec'd PCT/PTO 03 OCT 2005

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/529,985	Karl-Heinz Jenak	P05,0104

INTERNATIONAL APPLICATION NO.

PCT/EP03/11263

IA. FILING DATE	PRIORITY DATE
10/10/2003	10/10/2002

26574  
 SCHIFF HARDIN, LLP  
 PATENT DEPARTMENT  
 6600 SEARS TOWER  
 CHICAGO, IL 60606-6473

CONFIRMATION NO. 6262  
 371 FORMALITIES LETTER  
 \*OC000000016868836\*  
 \*OC000000016868836\*

Date Mailed: 08/25/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/31/2005
- English Translation of the IA filed on 03/31/2005
- Copy of the International Search Report filed on 03/31/2005
- Copy of IPE Report filed on 03/31/2005
- Copy of Annexes to the IPE filed on 03/31/2005
- English Translation of Annexes to the IPE filed on 03/31/2005
- Preliminary Amendments filed on 03/31/2005
- Information Disclosure Statements filed on 03/31/2005
- Oath or Declaration filed on 03/31/2005
- Request for Immediate Examination filed on 03/31/2005
- Copy of references cited in ISR filed on 03/31/2005
- U.S. Basic National Fees filed on 03/31/2005
- Substitute Specification filed on 03/31/2005
- Priority Documents filed on 03/31/2005
- Power of Attorney filed on 03/31/2005
- Non-English Language Application filed on 03/31/2005
- Specification filed on 03/31/2005
- Claims filed on 03/31/2005
- Abstracts filed on 03/31/2005
- Drawings filed on 03/31/2005

**RECEIVED**  
 AUG 29 2005  
 SCHIFF HARDIN LLP  
 U.S. PATENT DEPT.

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for

acceptance under 35 U.S.C. 371:

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- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/529,985	PCT/EP03/11263	P05,0104